

United Nations Development Programme



Country/Region/Global: Sri Lanka
Initiation Plan

Project Title : Preparation of Project Document for HFC Phase-down Plan (KIP (Kigali Implementation Plan) Stage I)

Expected UNDAF/CP Outcome(s): UNDAF Outcome 4/ CPD Outcome 2: By 2022, people in Sri Lanka, in particular, the vulnerable and marginalized, are more resilient to climate change and natural disasters and benefit from increasingly sustainable management of natural resources, better environmental governance and blue/ green development

Expected CPD Output(s): CPD Output 2.3: Low-carbon pathways and green development promoted focusing on renewable energy and blue-green investment

Initiation Plan Start/End Dates : 01 January 2022 to 30 June 2023

Implementing Partner : UNDP Sri Lanka

Brief Description

Sri Lanka ratified the Kigali Amendment in 2018 and at the 81st Meeting of the Executive Committee, the Enabling Activities for HFC phase-down for Sri Lanka were approved by the Executive Committee, with a funding level of US\$ 150,000, to UNEP, as per decision 81/31. The implementation of the enabling activity (EA) projects is still ongoing, with a delay due to the COVID 19 situation.

At the 87th meeting, the Executive Committee approved the guidelines for the preparation of Kigali HFC Implementation Plans (KIPs) to enable Article 5 countries to gradual phase down HFCs according to the established time schedules. Furthermore, the Executive Committee approved the request for funding for the preparation of the KIP Stage I for Sri Lanka, at a cost of USD 170,000, to be implemented with the assistance of UNDP as the lead agency and UNEP as a cooperating agency (USD 135,000 for UNDP and USD 35,000 for UNEP).

Programme Period:	2 years
Atlas Project Number:	00140093
Atlas Output ID:	00129400
Gender Marker:	Gen 2

Total resources required	USD 135,000
Total allocated resources:	USD 135,000
• Regular	_____
• Other:	
○ Donor	USD 135,000
○ Donor	_____
○ Government	_____
Unfunded budget:	_____
In-kind Contributions	_____

Agreed by UNDP: Robert Juhkam, Resident Representative, UNDP Sri Lanka

DocuSigned by:

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01-Dec-2021

I. PURPOSE AND EXPECTED OUTPUT

The objective of setting up an initiation plan is to develop the project concept into a full project on the development of an **HFC phase-down management plan (Kigali Implementation Plan) in Sri Lanka**. As described in the project proposal submitted to the 87th EXCOM Meeting, this project aims to phase down the production and consumption of HFCs, as per the agreed schedule, for which Sri Lanka ratified the Kigali Amendment on 28th September 2018.

It is expected that there will be synergies between HPMP and KIP and processes. However, the HFC phase-down is a much more complex process as it involves the introduction of flammable and/or toxic refrigerants. The safe handling of these substances is of paramount importance and therefore, related capacity enhancement will be an integral component of the project, together with the introduction and updating of standards, safety guidelines and regulations.

UNDP as lead implementing agency, in close coordination with the National Ozone Unit, and the cooperating agency, will develop and oversee the preparation of an overarching strategy and the development of investment projects as part of HFC phase-down and the subsequent implementation process. This stage I of KIP will aim to phase down 10% HFC in Sri Lanka during the period from 2024 to 2029. Lessons learned from HPMP implementation will be considered in the preparation of the overarching strategy for KIP. During project preparation, gender considerations and actions on gender mainstreaming will be assessed and a Gender Management Plan will be included in the HFC phase-down strategy.

II. MANAGEMENT ARRANGEMENTS

The Initiation Plan (IP) is managed by UNDP Sri Lanka under the overall guidance of the Resident Representative (RR) and the oversight of the Deputy Resident Representative (DRR). The IP will be managed and implemented by the Climate and Environment Team (CET) with support from the other UNDP Country Office (CO) teams and in full consultation with the Regional Technical team of MPU (Montreal Protocol Unit) of the Bangkok Regional Hub (BRH).

The Policy Specialist and the Team Leader (CET) will be accountable on behalf of the CO for the IP's overall results, and quality assurance. The Programme Coordinator (Energy and Waste) with the support of the Technical Coordinator (Energy and Waste) and the Project Assistant will be responsible for providing technical advice and day-to-day project management and implementation.

The UNDP Sri Lanka Country Office will lead the project development process and manage the Multi-Lateral Fund (MLF) Budget for the Project Preparation Grant (PRP), in full consultation with the Regional Technical Adviser. The MLF PRP Atlas budget and the Multiyear Workplan are presented in *Section IV*.

III. MONITORING

The output of the initiation plan, as outlined in the work plan, will be monitored and evaluated quarterly during PRP implementation (with virtual methods used if necessary), to ensure that the full-sized project is prepared in a timely manner.

The Programme Coordinator (Energy & Waste) will inform the UNDP Country Office of any delays or difficulties as they arise during PPG implementation so that appropriate support and corrective measures can be adopted. The UNDP Country Office will support the project team as needed during the PRP, including through monitoring and coordination. This includes ensuring that targets at the output level are monitored and reported on and that any risks arising during implementation are managed or mitigated to the extent possible. The risks and mitigation options identified are listed in Annex 1.

IV. WORK PLAN

Period¹: 01 January 2022 – 30 June 2023

Project Name	Preparation of an HFC Phase-down Plan (KIP- Kigali Implementation Plan stage I)						
Award ID	SRL/KIP/87/PRP/59						
Duration	January 2022 - June 2023						
ATLAS Activity	Responsible Party	Source of Funds	ATLAS Code	ATLAS Budget Description	2022	2023	Total
Activity 1: Development of Kigali implementation plan	UNDP	MLF	71300	Contractual Services - Individual (National Consultant)	25,000	10,000	35,000
	UNDP	MLF	71200	Contractual Services - Individual (International Consultant)	7,500	7,500	15,000
	UNDP	MLF	75700	Workshops and meetings	14,500	12,500	27,000
	UNDP	MLF	72100	Contractual Services - Companies (National Survey)	31,360		31,360
	UNDP	MLF	72,400	Audio, Visual and Print Products	5,000	3,000	8,000
	UNDP	MLF	71600	Travel (internal + international)	6,000	6,000	12,000
	UNDP	MLF	72500	Office equipment	1,500	1,500	3,000
	UNDP	MLF	64398	Direct Project Cost	1,820	1,820	3,640
TOTAL					92,680	42,320	135,000

¹ Maximum 18 months

Multi-Year Workplan

EXPECTED OUTPUTS <i>And baseline, indicators including annual targets</i>	PLANNED ACTIVITIES <i>List activity results and associated actions</i>	TIMEFRAME						RESPONSIBLE PARTY	PLANNED BUDGET		
		Q1	Q2	Q3	Q4	Year2 Q1	Year2 Q2		Funding Source	Budget Description	Amount
Output 1 <i>Development of KIP for HFC Phase-down Stage I</i>	1. Activity Result – Project implementation coordinated Activity Action-Employ a National Consultant	X	X	X	X	X	X	UNDP	MLF	Contractual Services -Individual -71300	35,000
	2. Activity Result- Project implementation coordinated -Activity action- International Consultant	X	X	X	X	X	X	UNDP	MLF	Contractual Services -Individual -71200	15,000
	3. Activity Result – Policies and regulations introduced - Action – Conduct Stakeholder Workshops	X	X	X	X	X	X	UNDP	MLF	Workshops and meetings -75700	27,000
	4. Activity Result- HFC consumption in the country assessed -Action- Conduct a National Survey	X	X	X				UNDP	MLF	Contractual Services Companies 72100	31,360
	5. Activity Result – awareness outreach - Action – Produce print and audio-visual materials	X	X	X	X	X	X	UNDP	MLF	Audio, Visual and Print Products - 72400	8,000
	6. Travel	X	X	X	X	X	X	UNDP	MLF	Travel (Internal & International)- 71600	12,000
	7. Purchase office equipment	X				X		UNDP	MLF	Office equipment - 72500	3,000
	8. Direct Project Cost							UNDP	MLF	Direct Project Cost - 64398	3,640
TOTAL											135,000

ANNEX 1 RISKS AND RISK MITIGATION

Risk	Proposed strategy for risk mitigation
Delay in formulating and submitting the completed Project Document due to inability or reduction in number of required field meetings/stakeholder consultations, at the all the levels of stakeholders due to COVID19 Health Guidelines put in place by the govt and the impracticability of online meetings	<ol style="list-style-type: none"><li data-bbox="826 322 1337 584">1. Revise field meeting plans according to evolving situation, using online meetings frequently, conducting small group discussions according to Health Guidelines, selecting the most critical sites & stakeholders for consultations to minimize the travel.<li data-bbox="826 591 1337 719">2. Use relevant reports and research results, and secondary data as much as possible to reduce the needs for field visit and consultation